# Graceful exit from inflation for minimally coupled Bianchi A scalar field models

#### Florian Beyer

Reference: F.B. and Leon Escobar (2013), CQG, 30(19), p.195020.

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#### Graceful exit from inflation is the transition

Accelerated expansion (inflation)  $\longrightarrow$  Decelerated expansion.

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However: Concerning *finite* phases of accelerated expansion there are several open questions:

- For which matter models is this possible?
- Even if inhomogeneities and anisotropies are small by the end of inflation, could they grow again in the decelerated epoch after inflation?

Answers to these questions are highly relevant to justify the standard model of cosmology:

The universe is modeled as an expanding *exactly* homogeneous and isotropic solution of Einstein's equations with certain matter fields.

→ Friedmann-Robertson-Walker (FRW) spacetimes

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The universe is modeled as an expanding exactly homogeneous and isotropic solution of Einstein's equations with certain matter fields.

Intermediate step towards answering these questions: Restrict to *spatially homogeneous*, but in general *anisotropic* models.

#### Relevant matter models

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- 1 Positive cosmological constant (+ further matter fields which satisfy the strong and dominant energy conditions) [Wald, 1983]: exponential *eternal* inflation and hence *no graceful exit*.
- Minimally coupled scalar field models. Focus on this for the rest of the talk.
- 3 ....

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minimally coupled self gravitating scalar field model

Einstein's field equations

$$G_{\mu\nu}=T_{\mu\nu},$$

with energy momentum tensor

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Scalar wave equation

$$\nabla^{\mu}\nabla_{\mu}\phi-V'(\phi)=0.$$



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- We could add further matter fields which satisfy the strong and dominant energy conditions. Most of the analytic results mentioned in the following allow this. For our purposes here, we consider pure self-gravitating scalar field models.
- The scalar wave equation reduces to

$$\ddot{\phi} + 3H\dot{\phi} + V'(\phi) = 0$$

in the spatially homogeneous case and for scalar fields which are constant on the surfaces of homogeneity.

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Stability under inhomogeneous perturbations: Ringström [2008, 2009].

However: Not much is known about finite inflation and the *graceful exit problem*.

# Our choice of potential

We have decided to study the following potential

$$V(\phi) = e^{-c_1\phi}(c_2 + \phi^2),$$

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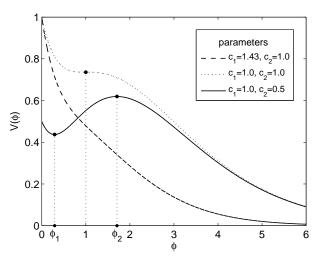
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Notice: Studies of the graceful exit problem in the isotropic case for this potential were carried out by Parsons and Barrow [1995].

### Our potential: The three main cases



Assumption for today: Choose parameters of the potential  $c_1$  and  $c_2$  such that the potential is strictly monotonically decreasing.

# Spatially homogeneous models

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#### Bianchi classification:

- Up to topological questions, such isometry groups are determined by their 3-dimensional real Lie algebras.
- The Bianchi A case corresponds to the class of *unimodular real* 3-dimensional Lie algebras. For those, we can choose a basis  $\{\xi_1, \xi_2, \xi_3\}$  such that

$$[\xi_b, \xi_c] = \sum_{a.e=1}^3 \varepsilon_{bce} n^{ea} \xi_a,$$

for a symmetric constant matrix (nea).



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#### Bianchi A classification continued

Result: Given any unimodular real 3-dimensional real Lie algebra, we can choose a basis  $\{\xi_1, \xi_2, \xi_3\}$  such

$$(n^{ea}) = diag(n_1, n_2, n_3),$$

where the real numbers  $n_1$ ,  $n_2$  and  $n_3$  satisfy one of the following cases:

Bianchi I	$n_1 = n_2 = n_3 = 0$
Bianchi II	$n_2 = n_3 = 0, n_1 > 0$
Bianchi VI <sub>0</sub>	$n_1=0, n_2>0, n_3<0$
Bianchi VII <sub>0</sub>	$n_1=0, n_2>0, n_3>0$
Bianchi VIII	$n_1 < 0, n_2 > 0, n_3 > 0$
Bianchi IX	$n_1 > 0, n_2 > 0, n_3 > 0$

### Formulate the field equations

Introduce a symmetry invariant orthonormal frame  $\{e_0, e_1, e_2, e_3\}$  with  $e_0$  perpendicular to the symmetry hypersurfaces. Let the symmetry hypersurfaces be labeled by a global time function t with unit gradient and choose coordinates  $(t, x^{\alpha})$  so that  $e_0 = \partial_t$ .

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- 2 Describe the models by
  - H(t): Hubble scalar. Proportional to  $\dot{v}ol(t)/vol(t)$ . Assume that H(t) > 0 during the whole evolution.
  - $\sigma_{\pm}(t)$ : Anisotropy scalars.
  - $n_a(t)$ : Eigenvalues of the matrix  $(n^{ea})$  of the Lie algebra spanned by  $\{e_1, e_2, e_3\}$ . These determine the Bianchi type and the spatial curvature.
    - $\phi(t)$ : Scalar field.

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Introduce Hubble time  $\tau$  by  $\frac{d\tau}{dt} = H$  and Hubble-normalized (dimensionless) quantities

$$(\Sigma_+, \Sigma_-, N_1, N_2, N_3) := (\sigma_+, \sigma_-, n_1, n_2, n_3)/H,$$

and

$$x := \dot{\phi}/(\sqrt{6}H), \quad y := \sqrt{V(\phi)}/(\sqrt{3}H),$$

all of which are now interpreted as functions of  $\tau$ .

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Result: The resulting state space is spanned by  $(\Sigma_+, \Sigma_-, N_1, N_2, N_3, x, y, \phi) \in \mathbb{R}^8$ .



#### Full set of equations – evolution equations

#### **Evolution equations:**

$$\Sigma'_{\pm} = -(2-q)\Sigma_{\pm} - S_{\pm},$$
 $N'_{1} = (q - 4\Sigma_{+})N_{1},$ 
 $N'_{2} = (q + 2\Sigma_{+} + 2\sqrt{3}\Sigma_{-})N_{2},$ 
 $N'_{3} = (q + 2\Sigma_{+} - 2\sqrt{3}\Sigma_{-})N_{3},$ 
 $x' = x(q - 2) - F(\phi)y^{2},$ 
 $y' = F(\phi)xy + y(1 + q),$ 
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with:  

$$q := 2\Sigma_{+}^{2} + 2\Sigma_{-}^{2} + 2x^{2} - y^{2},$$

$$S_{+} := \frac{1}{6} ((N_{2} - N_{3})^{2} - N_{1}(2N_{1} - N_{2} - N_{3})),$$

$$S_{-} := \frac{1}{2\sqrt{3}} (N_{3} - N_{2})(N_{1} - N_{2} - N_{3}),$$

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$$\begin{split} & \Sigma_{\pm}' = -(2-q)\Sigma_{\pm} - S_{\pm}, \\ & N_1' = (q - 4\Sigma_{+})N_1, \\ & N_2' = (q + 2\Sigma_{+} + 2\sqrt{3}\Sigma_{-})N_2, \\ & N_3' = (q + 2\Sigma_{+} - 2\sqrt{3}\Sigma_{-})N_3, \\ & x' = x(q - 2) - F(\phi)y^2, \\ & y' = F(\phi)xy + y(1+q), \\ & \phi' = \sqrt{6}x, \end{split}$$

with:  $q:=2\Sigma_+^2+2\Sigma_-^2+2x^2-y^2,$   $S_+:=\frac{1}{6}\big((N_2-N_3)^2\\ -N_1(2N_1-N_2-N_3)\big),$ 

$$S_{-} := \frac{1}{2\sqrt{3}}(N_3 - N_2)(N_1 - N_2 - N_3),$$
 $F(\phi) = \sqrt{\frac{3}{2}} \frac{V'(\phi)}{V(\phi)} = \sqrt{\frac{3}{2}} \left(\frac{2\phi}{\phi^2 + c_2} - c_1\right).$ 

The quantity q is the deceleration scalar:

q > 0: Expansion is decelerated,  $\ddot{vol} < 0$ .

q < 0: Expansion is accelerated,  $\ddot{vol} > 0$  (inflation).

Hence, a sign change  $- \longrightarrow +$  of  $q(\tau)$  signals a graceful exit.

#### Full set of equations - constraint

Hamiltonian constraint (generalized Friedmann equation):

$$1 = \Sigma_+^2 + \Sigma_-^2 + x^2 + y^2 + K,$$

with

$$K := -\frac{^3R}{6H^2} = \frac{1}{12} \left( N_1^2 + N_2^2 + N_3^2 - 2(N_1N_2 + N_2N_3 + N_3N_1) \right),$$

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where <sup>3</sup>R is the spatial Ricci scalar.

Notice:  $K \ge 0$  for all Bianchi A models, possibly except for Bianchi IX.

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Constraint implies:  $R = \Sigma^2 + K$ . Since  $K \ge 0$  (except for Bianchi IX), it follows that both  $\Sigma^2$  and K also decay during inflation.

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Open question: What happens after inflation, i.e., when q goes from negative to positive?

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Basic observations, which we discuss in detail now:

■ We find  $\lim_{\tau \to \infty} \phi(\tau) = \infty$  for generic initial data (monotonic potential). We replace  $\phi$  by  $\psi := 1/\phi$ .

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- We find  $\lim_{\tau \to \infty} \phi(\tau) = \infty$  for generic initial data (monotonic potential). We replace  $\phi$  by  $\psi := 1/\phi$ .
- If  $c_1$  and  $c_2$  satisfy certain conditions, then it turns out that there exist "future attractors" characterized by  $\lim_{\tau \to \infty} q(\tau) > 0$ . For inflationary initial data as above, a graceful exit then occurs naturally after some finite  $\tau$ .

#### Bianchi I ( $N_1 = N_2 = N_3 = 0$ ): Full set of equations

Evolution equations (dynamical system):

$$\begin{split} \Sigma'_{\pm} &= -(2-q)\Sigma_{\pm}, \\ x' &= x(q-2) - F(\psi)y^2, \\ y' &= F(\psi)xy + y(1+q), \\ \psi' &= -\sqrt{6}x\psi^2. \end{split}$$

with 
$$q = 2\Sigma_+^2 + 2\Sigma_-^2 + 2x^2 - y^2$$
.

Constraint:

$$1 = \Sigma_+^2 + \Sigma_-^2 + x^2 + y^2.$$

#### Bianchi I ( $N_1 = N_2 = N_3 = 0$ ): Fixed point

Numerical observation: Generic initially inflationary Bianchi I solutions approach the following *fixed point* of the dynamical system for  $\tau \to \infty$ 

$$\Sigma_{\pm}=0,\ \textit{N}_{1}=\textit{N}_{2}=\textit{N}_{3}=0,\ \textit{x}=\textit{c}_{1}/\sqrt{6},\ \textit{y}=\sqrt{1-\textit{c}_{1}^{2}/6},\ \psi=0,$$

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where  $q = (c_1^2 - 2)/2$ . This corresponds (in some sense) to a flat FRW perfect fluid solution.

Hence: This fixed point is in the decelerated regime if and only if

$$\sqrt{2} < c_1 < \sqrt{6}$$
.

Linear stability: Linearize Bianchi I evolution equations around fixed point. We get eigenvalues  $\frac{1}{2}(c_1^2-6)$  (triple), 0 (single),  $c_1^2-2$  (single). Hence for  $c_1$  as above, we find:

■ 3-dimensional future stable subspace.

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- 1-dimensional center subspace.

Linear stability: Linearize Bianchi I evolution equations around fixed point. We get eigenvalues  $\frac{1}{2}(c_1^2-6)$  (triple), 0 (single),  $c_1^2-2$  (single). Hence for  $c_1$  as above, we find:

- 3-dimensional future stable subspace.
- 1-dimensional future unstable subspace: constraint violating mode (can be ignored if the constraints are satisfied).
- 1-dimensional center subspace.

Hence: Fixed point is not hyperbolic. Must apply center manifold theory.

# Bianchi I: Dynamics on the center manifold and future non-linear stability

We find: Leading-order dynamics on the center manifold for  $\eta=1/ au o 0$  is

$$egin{aligned} \Sigma_{\pm}(\eta) &= 0, \ \psi(\eta) &= \eta/c_1 + \left(\phi_* - rac{2\log\eta}{c_1^3}
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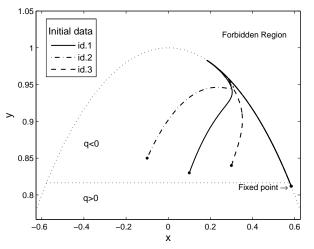
for a number  $\phi_*$ .

In particular: Fixed point is future non-linearly stable within the Bianchi I class.

#### Bianchi I ( $N_1 = N_2 = N_3 = 0$ ): Numerical studies

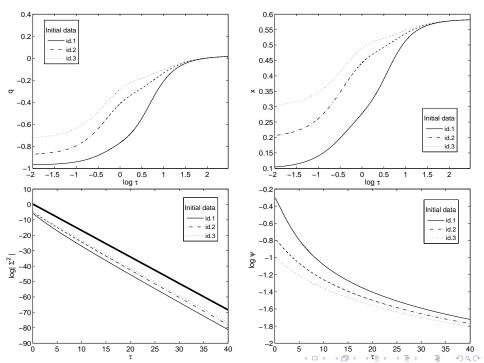
Numerical studies support the claim that the fixed point is indeed a future attractor if  $\sqrt{2} < c_1 < \sqrt{6}$ .

#### Bianchi I ( $N_1 = N_2 = N_3 = 0$ ): Numerical studies



$$c_1 = 1.43, c_2 = 1.0.$$

Notice: Constraint implies  $x^2 + y^2 \le 1$  and  $q = 2 - 3y^2$ . Recall that we have shown that  $R = 1 - x^2 - y^2$  decays so long as q < 0.



#### Bianchi I ( $N_1 = N_2 = N_3 = 0$ ): Results

#### We have found:

■ If  $\sqrt{2} < c_1 < \sqrt{6}$  and initial data are in the inflationary regime, then the corresponding Bianchi I solutions have graceful exits from inflation. We have not proven this, but our numerical studies suggest that the fixed point is a future attractor.

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- Fixed point is isotropic, so anisotropies continue to decay after inflation.
- Due to the unstable constraint violating mode, it is crucial to add constraint damping terms to the evolution equations to obtain reliable numerical results.

#### Bianchi II ( $N_1 > 0$ , $N_2 = N_3 = 0$ ): Full set of equations

#### **Evolution equations:**

$$\begin{split} \Sigma'_{\pm} &= -(2-q)\Sigma_{\pm} - S_{\pm}, \\ N'_{1} &= (q-4\Sigma_{+})N_{1}, \\ x' &= x(q-2) - F(\psi)y^{2}, \\ y' &= F(\psi)xy + y(1+q), \\ \psi' &= -\sqrt{6}x\psi^{2}. \end{split}$$

with 
$$q=2\Sigma_+^2+2\Sigma_-^2+2x^2-y^2, \; S_+=-\frac{1}{3}N_1^2 \; {\rm and} \; S_-=0.$$

Constraint:

$$1 = \Sigma_{+}^{2} + \Sigma_{-}^{2} + x^{2} + y^{2} + K,$$

with

$$K=\frac{1}{12}N_1^2.$$



#### Bianchi II ( $N_1 > 0$ , $N_2 = N_3 = 0$ ): Fixed point

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$$\Sigma_{+} = \frac{2(c_{1}^{2} - 2)}{c_{1}^{2} + 16}, \qquad \Sigma_{-} = 0, \quad x = \frac{3\sqrt{6}c_{1}}{c_{1}^{2} + 16}, \quad y = \frac{6\sqrt{8 - c_{1}^{2}}}{c_{1}^{2} + 16},$$

$$N_{1} = \frac{6\sqrt{-c_{1}^{4} + 10c_{1}^{2} - 16}}{c_{1}^{2} + 16}, \quad \psi = 0.$$

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Hence: Since  $q = 8(c_1^2 - 2)/(16 + c_1^2)$ , the fixed point is in the decelerated regime if and only if

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Notice: This corresponds to the Collins-Stewart (II) perfect fluid solution (called  $P_{+}^{+}(II)$  in Wainwright and Ellis [1997]).

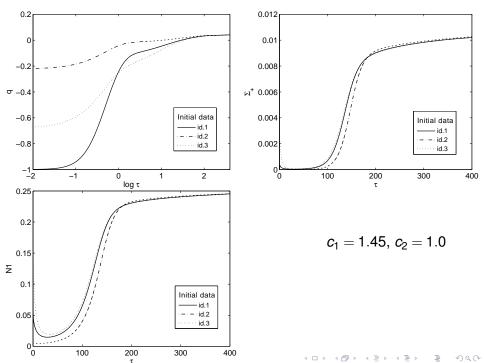
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#### Bianchi II ( $N_1 > 0$ , $N_2 = N_3 = 0$ ): Numerical studies

Numerical studies support the claim that the fixed point is a future attractor if  $\sqrt{2} < c_1 < \sqrt{8}$ .

We find: This Bianchi II fixed point has similar stability properties within Bianchi II as the previous Bianchi I fixed point within Bianchi I.



#### Bianchi II ( $N_1 > 0$ , $N_2 = N_3 = 0$ ): Results

- If  $\sqrt{2} < c_1 < \sqrt{8}$  and initial data are in the inflationary regime, then the corresponding Bianchi II solutions have graceful exits from inflation.
- Fixed point is anisotropic and spatial curvature is not zero. Hence, anisotropies and spatial curvature do not stay small after inflation!

# Bianchi $VI_0$ ( $N_1 = 0$ , $N_2 > 0$ , $N_3 < 0$ )

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Skip ...



# Bianchi VII<sub>0</sub> ( $N_1 = 0$ , $N_2$ , $N_3 > 0$ ): Full set of equations

**Evolution equations:** 

$$\begin{split} & \Sigma_{\pm}' = -(2-q)\Sigma_{\pm} - S_{\pm}, \\ & N_2' = (q+2\Sigma_{+} + 2\sqrt{3}\Sigma_{-})N_2, \quad N_3' = (q+2\Sigma_{+} - 2\sqrt{3}\Sigma_{-})N_3, \\ & x' = x(q-2) - F(\psi)y^2, \quad y' = F(\psi)xy + y(1+q), \\ & \psi' = -\sqrt{6}x\psi^2. \end{split}$$

with

$$q = 2\Sigma_{+}^{2} + 2\Sigma_{-}^{2} + 2x^{2} - y^{2},$$
  $S_{+} = \frac{1}{6}(N_{2} - N_{3})^{2},$   $S_{-} = \frac{1}{2\sqrt{3}}(N_{2} - N_{3})(N_{2} + N_{3}).$ 

Constraint:

$$1 = \Sigma_{+}^{2} + \Sigma_{-}^{2} + x^{2} + y^{2} + K,$$

with

$$K = \frac{1}{12} (N_2 - N_3)^2$$
.

Basic phenomenology: The constraint implies that while  $\Sigma_+$ ,  $\Sigma_-$ , x, y are bounded, the variables  $N_2$  and  $N_3$  may become arbitrarily large!

We find numerically: The quantities  $\Sigma_+$ ,  $\Sigma_-$ , x, y and  $\psi$  approach stationary values 0, 0,  $x_*$ ,  $y_*$  and 0 in the limit  $\tau \to \infty$ . Hence  $q \to q_* = 2x_*^2 - y_*^2$ .

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Based on this, the evolution equations imply (in consistency with the numerics) that

$$N_2 \rightarrow N_* e^{q_* \tau}, \quad N_3 \rightarrow N_* e^{q_* \tau},$$

for some  $N_{\rm *}>0$  in a way such that

$$S_+ = (\textit{N}_2 - \textit{N}_3)^2/6 = 2\textit{K} \rightarrow 0, \quad S_- = (\textit{N}_2^2 - \textit{N}_3^2)/2\sqrt{3} \rightarrow 0.$$

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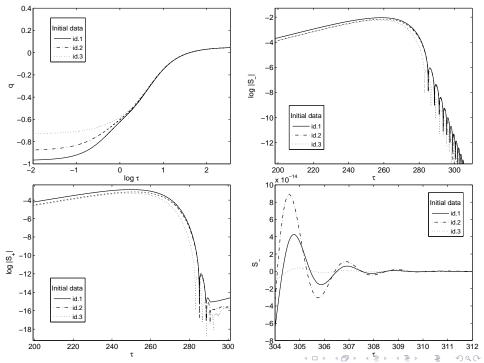
$$S_{+} = (N_2 - N_3)^2/6 = 2K \to 0, \quad S_{-} = (N_2^2 - N_3^2)/2\sqrt{3} \to 0.$$

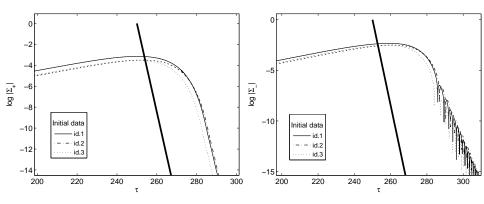
Then the equations imply:  $x_* = c_1/\sqrt{6}$ ,  $y_* = \sqrt{1 - c_1^2/6}$ , and hence

$$q_* = (c_1^2 - 2)/2 > 0$$
 if and only if  $c_1 > \sqrt{2}$ .

Therefore graceful exits occur as before!

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Recall: 
$$\Sigma_{\pm}' = -(2-q)\Sigma_{\pm} - \mathcal{S}_{\pm}$$
.

#### We have found:

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- Future asymptotic behavior is much more complicated than in previous Bianchi cases. The *N*-variables are unbounded and certain other variables are oscillatory.
- In the pure vacuum case, a result by Ringström [2001] implies that for generic Bianchi VII<sub>0</sub> initial data, the *N*-variables are bounded and that the solutions generically approach the flat spacetime.

## Bianchi VIII ( $N_1 < 0, N_2, N_3 > 0$ )

Basic phenomenology: The constraint implies that while  $\Sigma_+$ ,  $\Sigma_-$ , x, y and  $N_1$  are bounded, the variables  $N_2$  and  $N_3$  may become arbitrarily large!

#### Bianchi VIII: Heuristic analysis

A similar heuristic discussion as before yields the following picture:

$$\begin{split} \Sigma_+ \to \Sigma_{+*} &= \frac{c_1^2 - 2}{2 \left( c_1^2 + 1 \right)}, \quad \Sigma_- \to 0, \\ x \to \sqrt{\frac{3}{2}} \, \frac{c_1}{c_1^2 + 1}, \quad y \to \sqrt{\frac{3}{2}} \, \frac{\sqrt{c_1^2 + 2}}{c_1^2 + 1}, \quad \psi \to 0. \end{split}$$

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This implies that

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This implies that

$$q o q_* = rac{c_1^2 - 2}{2(c_1^2 + 1)} > 0 \quad ext{if and only if} \quad c_1 > \sqrt{2}.$$

Moreover.

$$N_1 o N_{1*} e^{(q_* - 4\Sigma_{+*})\tau}, \quad N_2 o N_* e^{(q_* + 2\Sigma_{+*})\tau}, \quad N_3 o N_* e^{(q_* + 2\Sigma_{+*})\tau},$$

such that

$$N_2-N_3 \to 0, \quad N_2^2-N_3^2 \to 0, \quad \frac{1}{6}|N_1|(N_2+N_3) \to (2-q_*)\Sigma_{+*}.$$

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#### Bianchi VIII: Results

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- Asymptotics for  $\tau \to \infty$  is neither flat nor isotropic.

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- Do our results also apply to larger classes of potentials? Work in progress.

## Further reading I

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